

05/15/01
J1036 U.S. PTO
09/855886

05-16-01

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Appln. Trans.
PATENT

**UTILITY PATENT
APPLICATION
TRANSMITTAL**

*(Only for new nonprovisional
applications under 37 CFR 1.53(b))*

Attorney Docket No. A31386-A

First Named Inventor Coller et al.

Express Mail Label No. EF321688787US

Total Pages 98 PGS (37 COPIED FROM PARENT APPL
+ 61 PRELIM AMD + ATTCH) + DISKETTE

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May 15, 2001

BY EXPRESS MAIL - Label No. EF321688787US

Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

Sir:

Enclosed herewith for filing is a patent application of Coller et al. entitled METHOD OF INHIBITING
ANGIOGENESIS AND TUMOR GROWTH AND PREVENTING TUMOR GROWTH AND METASTASIS.

which includes:

<input checked="" type="checkbox"/> Specification	<u>22</u> Total Pages
<input checked="" type="checkbox"/> Claims	<u>3</u> Total Pages
<input checked="" type="checkbox"/> Abstract	<u>1</u> Total Pages
<input checked="" type="checkbox"/> Drawing(s)	<u>8</u> Total Sheets
<input type="checkbox"/> formal	
<input checked="" type="checkbox"/> informal	

<input checked="" type="checkbox"/> Combined Declaration and Power of Attorney	<u>3</u> Total Pages
<input type="checkbox"/> Newly executed (original or copy)	
<input checked="" type="checkbox"/> Copy from a prior application	

If a continuing application, check appropriate box:

<input checked="" type="checkbox"/> Continuation	<input type="checkbox"/> Divisional	<input type="checkbox"/> Continuation-In-Part (CIP)
of prior application No. <u>09/090,757 filed June 4, 1998.</u>		

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- [x] Assignments of the invention to MOUNT SINAI SCHOOL OF MEDICINE OF NEW YORK UNIVERSITY, CENTOCOR, INC., AND THE REGENTS OF THE UNIVERSITY OF CALIFORNIA
[] is attached. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
[] will follow.
[x] have been filed in the prior application

- [] Small Entity Statement(s) .
[] Small Entity Statement filed in prior application. Status still proper and desired.
[] Information Disclosure Statement (IDS) PTO-1449
[] Copies of IDS Citations.

- [x] Preliminary Amendment (6 PGS) **WITH SUBSTITUTE SPECIFICATION INCLUDING SEQUENCE LISTING (21 PGS), SUBSTITUTE SPECIFICATION MARKED TO SHOW CHANGES (21 PGS), CLAIMS AMENDED TO SHOW CHANGES (2 PGS), PENDING CLAIMS (3 PGS), AND FORMAL DRAWINGS (8 PGS). (61 PAGES TOTAL) + SEQUENCE LISTING DISKETTE**

- [x] Return Receipt Postcard

- [] Other _

- [x] Cancel in this application original claims 12-14 of the prior application before calculating the filing fee.

The filing fee has been calculated as shown below:

FOR	(Col. 1) <u>No. Filed</u>			(Col. 2) <u>No. Extra</u>			Small Entity <u>Rate</u>	<u>Fee</u>	OR	Other Than A Small Entity <u>Rate</u>	<u>Fee</u>
Basic Fee											\$710.00
Total Claims	11	-20	=	0	x	9 =	\$0.00		x	18 =	\$0.00
Ind. Claims	1	-3	=	0	x	40 =	\$0.00		x	80 =	\$0.00
Multiple Dependent Claim						+ 135 =				+ 270 =	
						Total		<u>\$0.00</u>			<u>\$710.00</u>

* If the difference in Col. 1 is less than zero, enter "0" in Col. 2.

Fee Payment Being Made:

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[x] Basic filing fee \$710.00

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Attorney Docket No. A31386-A

☐ Recording Assignment \$0.00
[\$40.00; 37 CFR 1.21(h)]

Total Fees Enclosed \$710.00

☒ A check in the amount of \$710.00 to cover filing fee is enclosed.

Priority

☐ Priority of application Country __, Appln. No. __ filed __ is claimed under 35 U.S.C. 119.

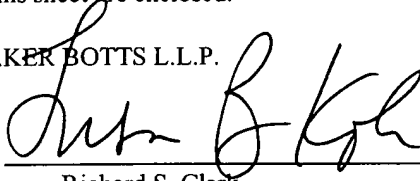
☐ Certified Copy of Priority Document(s) Country __, Appln No. __, filed __.

☐ is/are attached ☐ will follow ☐ has been filed in the parent application S/N __.

☒ The Commissioner is hereby authorized to charge payment of any additional filing fees required under 37 CFR 1.16, 1.17, and 1.21(h) associated with this communication or credit any overpayment to Deposit Account No. 02-4377. Two copies of this sheet are enclosed.

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Enclosures